Contribution of Disruption of the Nuclear Factor- κ B Pathway to Induction of Apoptosis in Human Leukemia Cells by Histone Deacetylase Inhibitors and Flavopiridol

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ABSTRACT

Interactions between the cyclin-dependent kinase inhibitor flavopiridol and the histone deacetylase inhibitors (HDACIs) sodium butyrate (NaB) and suberoylanilide hydroxamic acid (SAHA) have been examined in human leukemia cells in relation to effects on nuclear factor κB (NF- κB) activation. Exposure (24 h) of U937 human leukemia cells to NaB (1 mM) or SAHA (1.5 μ M) resulted in a marked increase in NF- κB DNA binding, effects that were essentially abrogated by coadministration of flavopiridol (100 nM). These events were accompanied by a marked increase in mitochondrial injury, caspase activation, and apoptosis. Mutant cells expressing an $I\kappa B\alpha$ super-repressor exhibited impairment of NF- κB DNA binding in response to HDACIs and a significant although modest increase in apoptosis. However, disruption of the NF- κB pathway also increased mitochondrial injury and caspase activation in response to fla-

vopiridol and to an even greater extent to the combination of flavopiridol and HDACIs. Coadministration of flavopiridol with HDACIs down-regulated the X-linked inhibitor of apoptosis (XIAP), McI-1, and p21^{CIP1/WAF1} and activated c-Jun NH2-terminal kinase; moreover, these effects were considerably more pronounced in IrBa mutants. Similar responses were observed in U937 mutant cells stably expressing ReIA/p65 small interfering RNA. In all cases, flavopiridol was significantly more potent than genetic interruption of the NF-rB cascade in promoting HDACI-mediated lethality. Together, these findings are consistent with the notion that although inhibition of NF-rB activation by flavopiridol contributes to antileukemic interactions with HDACIs, other NF-rB-independent flavopiridol actions (e.g., down-regulation of McI-1, XIAP, and p21^{CIP1/WAF1}) play particularly critical roles in this phenomenon.

Histone acetylation status plays a major role in the control of gene transcription in diverse cell types, including those of leukemic origin (Carrozza et al., 2003). Two classes of enzymes reciprocally regulate histone acetylation: histone deacetylases (HDAC) and histone acetyl transferases (Peterson, 2002). In general, acetylation of histones allows chromatin to assume a more relaxed conformation, thereby promoting gene transcription (Gray and Teh, 2001). Histone deacetylase inhibitors (HDACIs) represent a diverse group of compounds that block histone deacetylation and thereby modulate the expression of genes involved in multiple cellu-

lar processes, including differentiation (Rosato and Grant, 2004). In leukemic cells, HDACIs, including the short-chain fatty acid butyrate and the hydroxamic acid suberoylanilide hydroxamic acid (SAHA), potently induce differentiation in vitro (Richon et al., 1998; Rivero and Adunyah, 1998). However, when administered at higher concentrations, such agents trigger leukemic cell apoptosis (Vrana et al., 1999). It was shown recently that dysregulation of the endogenous cyclin-dependent kinase inhibitor p21^{CIP1/WAFI} in human leukemia cells blocks HDACI-mediated maturation and reciprocally promotes cell death (Rahmani et al., 2003). Clinical trials of butyrate derivatives (e.g., phenylbutryate) have been carried out in patients with hematological malignancies (Gilbert et al., 2001), and phase I and II trials of SAHA are underway (Kelly et al., 2003).

Flavopiridol (FP), a semisynthetic rohitukine alkaloid that potently inhibits essentially all cyclin-dependent kinases

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ABBREVIATIONS: HDAC, histone deacetylase; CDK, cyclin-dependent kinase; HDACI, histone deacetylase inhibitor; FP, flavopiridol; NaB, sodium butyrate; SAHA, suberoylanilide hydroxamic acid; NF-κB, nuclear factor-κB; IKK, IκB kinase; EMSA, electrophoretic mobility shift assay; siRNA, small interfering RNA; XIAP, X-linked inhibitor of apoptosis; JNK, c-Jun NH₂-terminal kinase; PTEF-b, positive transcription elongation factor-b; PI, propidium iodide; PBS, phosphate-buffered saline; DTT, dithiothreitol; PARP, poly(ADP-ribose) polymerase; AIF, apoptosis-inducing factor.

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(CDKs) (De Azevedo et al., 1996), was the first CDK inhibitor to enter clinical trials (Senderowicz, 1999). Flavopiridol induces G₁ arrest in tumor cells (Carlson et al., 1996) and triggers leukemic cell apoptosis at submicromolar concentrations (Parker et al., 1998). Flavopiridol has recently been reported to inhibit the cyclin T/CDK9 transcriptional regulatory complex (positive transcription elongation factor-b; PTEF-b) (Chao et al., 2000) and to repress the transcription of various genes, including p21^{CIP1/WAF1} and Mcl-1, among others (Gojo et al., 2002; Rosato et al., 2004). Very recently, flavopiridol has been shown to inhibit the activation of NFκB, a transcription factor intimately involved in diverse cellular processes, including cell survival, proliferation, and differentiation (Takada and Aggarwal, 2004). NF-κB regulation involves, among other mechanisms, sequestration in the cytoplasm as an inactive form by members of the IkB family (e.g., IκBα) (Goldberg and Rock, 2002). Flavopiridol has recently been shown to disrupt NF-kB signaling by inhibiting the IKK, thus sparing $I\kappa B\alpha$ from proteasomal degradation (Takada and Aggarwal, 2004). The role that flavopiridolmediated interruption of NF-κB signaling plays in antileukemic interactions remains largely unexplored.

Studies from several groups have shown that coadministration of flavopiridol with HDACIs such as sodium butyrate (NaB), SAHA, and depsipeptide dramatically increases mitochondrial injury and apoptosis in leukemic and epithelial cancer cells (Almenara et al., 2002; Nguyen et al., 2004; Rosato et al., 2004). It has been postulated that such interactions may stem from flavopiridol-mediated transcriptional repression of antiapoptotic genes (e.g., p21^{CIP1/WAF1}, Mcl-1, and XIAP) (Almenara et al., 2002; Rosato et al., 2004). However, it is also known that HDACIs trigger perturbations in NF-κB activity and that such events may protect cells from apoptosis. For example, HDACIs such as trichostatin A and NaB activate NF-κB in non-small-cell lung cancer cells; moreover, pharmacological or genetic NF-κB inhibition promotes HDACI-mediated apoptosis (Mayo et al., 2003). Likewise, the disruption of the NF-κB pathway in human leukemia cells blocks HDACI-mediated p21^{CIP1/WAF1} induction, inhibits differentiation, and enhances apoptosis (Dai et al., 2003a). Such findings raise the possibility that an intact NF-κB pathway may be required for HDACIs and other differentiation-inducing agents to trigger a maturation program in malignant hematopoietic cells.

Because NF-κB activation is required for leukemic cell maturation (Dai et al., 2003a), and in view of recent evidence that flavopiridol acts as an IKK inhibitor (Takada and Aggarwal, 2004), the possibility that flavopiridol-mediated NF- κ B inhibition might contribute to synergistic interactions with HDACIs seemed plausible. To define the role that flavopiridol-mediated NF-κB dysregulation might play in antileukemic synergism more rigorously, we examined interactions between flavopiridol and the HDACIs NaB and SAHA in relation to effects on NF-κB activation. In particular, we used genetic strategies to assess the functional significance of perturbations in NF-κB activation on mitochondrial injury, caspase activation, and cell survival after exposure of cells to these agents alone and in combination. Our results indicate that flavopiridol dramatically blocks HDACI-mediated NF-kB activation in human leukemia cells and that this event plays a significant, albeit limited, role in antileukemic synergism. However, our findings also suggest that interactions between these agents are multifactorial and that more direct, NF- κ B-independent flavopiridol actions (e.g., down-regulation of p21 $^{CIP1/WAF1}$, Mcl-1, and XIAP) in all likelihood contribute substantially to this phenomenon.

Materials and Methods

Reagents and Cell Culture. The pan-CDK inhibitor flavopiridol was kindly provided by Dr. Edward Sausville. (Division of Cancer Treatment, National Cancer Institute/National Institutes of Health, Bethesda, MD). NaB was purchased from BIOMOL Research Laboratories (Plymouth Meeting, PA), and SAHA was purchased from BioVision (Moutain View, CA). All reagents were dissolved in dimethyl sulfoxide as a stock solution and stored at -80° C.

U937 human leukemia cells were purchased from American Type Culture Collection (Manassas, VA) and cultured in RPMI 1640 medium supplemented with sodium pyruvate, minimal essential medium, essential vitamins, L-glutamine, penicillin, streptomycin, and 10% fetal bovine serum. U937 cells were stably transfected with Ser32/Ser36 mutant IkBa cDNA or an empty vector (pcDNA3.1), and clones were selected with G418 as previously reported (Dai et al., 2003b). All experiments were performed using logarithmically growing cells (3–5 \times 10⁵ cells/ml).

RelA/p65 siRNA-expressing U937 cells were obtained by standard transfection techniques. The DNA oligonucleotides encoding hairpin siRNA targeting the coding region 186 to 204 downstream of the first nucleotide of the start codon of human relA/p65 were designed using the siRNA Target Finder tool (Ambion, Austin, TX). Two complementary DNA oligonucleotides (5'-gatccGATCAATGGCTACACAG-GAttcaagagaTCCTGTGTAGCCATTGATCttttttggaaa-3' and 3'gCT AGTTACCGATGTGTCCTaagttctctAGGACACATCGGTAACTAGaa aaaaccttttcga-5') were synthesized, annealed, and cloned into BamHI/HindIII sites of the RNA polymerase III-based expression vector pSilencer 3.1-H1 hygro (3.1-H1 hygro-p65siRNA) using standard techniques. The construct was verified by DNA sequencing and transfected into U937 cells using electroporation as described previously (Rahmani et al., 2003). Stable clones from a single cell were selected in the presence of 400 µg/ml hygromycin and tested for p65 protein expression by Western blot analysis.

Annexin V/PI Assays for Apoptosis. For Annexin V/propidium iodide (PI) assays, cells were stained with Annexin V-fluorescein isothiocyanate and PI and then evaluated for apoptosis by flow cytometry according to the manufacturer's protocol (BD PharMingen, San Diego, CA). In brief, 1×10^6 cells were washed twice with phosphate-buffered saline (PBS) and stained with 5 μl of Annexin V-fluorescein isothiocyanate and 10 μl of PI (5 $\mu g/ml$) in $1\times$ binding buffer (10 mM HEPES, pH 7.4, 140 mM NaOH, and 2.5 mM CaCl $_2$) for 15 min at room temperature in the dark. The apoptotic cells were determined using a FACScan Cytometer (BD Biosciences, San Jose, CA).

Western Blot Analysis. Western blot analysis was performed using the NuPAGE Bis-Tris electrophoresis system (Invitrogen, Carlsbad, CA). The total cellular samples were washed twice with cold PBS and lysed in 1× NuPAGE lithium dodecyl sulfate sample buffer supplemented with 50 mM dithiothreitol (DTT; Fisher Scientific Co., Pittsburgh, PA). The protein concentration was determined using Coomassie Protein Assay Reagent (Pierce, Rockford, IL). The total cellular protein extracts were separated by SDS-polyacrylamide gel electrophoresis and transferred to nitrocellulose membrane in 20 mM Tris-HCl, pH 8.0, containing 150 mM glycine and 20% (v/v) methanol. Membranes were blocked with 5% nonfat dry milk in $1 \times$ Tris-buffered saline containing 0.05% Tween 20 and incubated with antibodies against phospho-JNK (Thr183/ Tyr185)(Santa Cruz Biotechnology, Santa Cruz, CA), stress-activated protein kinase/JNK (Cell Signaling Technology Inc., Beverly, MA), XIAP (Cell Signaling Technology), Mcl-1 (PharMingen), p21^{WAF1/CIP1} (BD Transduction Laboratories, Lexington, KY), p27 KIP1 (BD PharMingen), PARP (BIOMOL Research Laboratories, Plymouth Meeting, PA), cleaved caspase-3 (Cell Signaling Technology), caspase-8 (Alexis Corporation, Carlsbad, CA), and caspase-9 (BD PharMingen). β -Actin (Santa Cruz Biotechnology) was used to ensure equal loading and transfer of proteins. Protein bands were detected by incubating with horseradish peroxidase-conjugated antibodies (Kirkegaard and Perry Laboratories, Gaithersburg, MD) and were visualized with enhanced chemiluminescence reagent (PerkinElmer Life and Analytical Sciences, Boston, MA).

Analysis of Cytosolic Cytochrome c and AIF. After treatment, cells (2×10^6) were washed twice in PBS and lysed by incubating for 5 min in lysis buffer (75 mM NaCl, 8 mM Na₂HPO₄, 1 mM NaH₂PO₄, 1 mM EDTA, and 350 μ g/ml digitonin). The lysate was centrifuged for 1 min, and the supernatant was collected and added to an equal volume of $2\times$ sample buffer. The protein concentration was determined using Coomassie protein assay reagent (Pierce). Cytosolic extract (30 μ g) was separated by SDS-polyacrylamide gel electrophoresis, transferred to nitrocellulose membrane, and incubated with antibodies against cytochrome c (BD PharMingen) and AIF (Santa Cruz Biotechnology).

Electrophoretic Mobility Shift Assay. Nuclear protein extracts were prepared as described previously (Hehner et al., 1998). In brief, cells were harvested and resuspended in hypotonic buffer A (10 mM HEPES, pH 7.9, 1.5 mM MgCl₂, 10 mM KCl, 0.5 mM DTT, 0.5 mM phenylmethylsulfonyl fluoride, 1 µg/ml leupeptin, and 1 µg/ml aprotinin) for 15 min on ice and then added 5 μ l of 10% Nonidet P-40. After centrifugation at 2000g for 10 min at 4°C, nuclei pellets were resuspended in buffer B (20 mM HEPES, pH 7.9, 1.5 mM MgCl₂, 450 mM NaCl, 0.2 mM EDTA, 25% glycerol, 0.5 mM DTT, 0.5 mM phenylmethylsulfonyl fluoride, 1 µg/ml leupeptin, and 1 µg/ml aprotinin) for 30 min on ice. After centrifugation at 20,000g for 15 min, the supernatant was collected as the nuclear extracts, and stored at -70°C. For electrophoretic mobility shift assay (EMSA), 4 μg of nuclear extracts were incubated with DNA binding buffer containing 2 μg of poly(dI-dC), 1 μg of bovine serum albumin, and 15,000 cpm of a ³²P-labeled oligonucleotide. Thereafter, the free and the oligonucleotide-bound proteins were separated by electrophoresis on a native 6% polyacrylamide gel. The gel was dried after electrophoresis and exposed to an X-ray film. The following oligonucleotide corresponding to NF- κ B binding site was used: 5'-AGTTGAGGGGACTTTCCCAGGC-3'/3'-TACACTCCCCTGAAAGGGTCCG-5'.

Densitometric Analysis. Autoradiographic signals of EMSA were quantified using Scion Image software (Scion Corporation, Frederick, MD). Mean densitometry data from independent experiments were normalized to results obtained in cells in the control. The data were presented as the mean \pm S.D.

Statistical Analysis. For analysis of apoptosis, values were presented as means \pm S.D. Statistical differences between control and treated groups were determined by the Student's t test. Differences were considered statistically significant for values of p < 0.05, < 0.01, and < 0.001.

Results

Flavopiridol Blocks SAHA- and NaB-Mediated Induction of NF- κ B DNA Binding Activity. To assess the effects of flavopiridol on NF- κ B activation by HDACIs, U937 cells were exposed to 1 mM NaB or 1.5 μ M SAHA for 24 h in the presence or absence of 100 nM flavopiridol, after which NF- κ B DNA binding was monitored by EMSA analysis (Fig. 1) and quantified by densitometry. Both SAHA and NaB markedly increased, whereas flavopiridol slightly decreased basal NF- κ B DNA binding (Fig. 1, A and B). It is significant that flavopiridol dramatically reduced HDACI-mediated NF- κ B activation. Supershift assays using antibodies directed against p50 and p65 confirmed the specificity of these events (Fig. 1C). These findings indicate that coadministration of flavopiridol prevents NaB- and SAHA-mediated NF- κ B activation in U937 cells.

Expression of an I κ B α "Super-Repressor" Abrogates NF- κ B Activation in Cells Exposed to HDACIs \pm Flavopiridol. To investigate the effects of interruption of the NF- κ B pathway on the response of cells to HDACIs \pm flavopiridol, U937 cells ectopically expressing an I κ B α superrepressor mutant were used. This construct exhibits serine-to-alanine substitutions at residues 32 and 36, which prevent

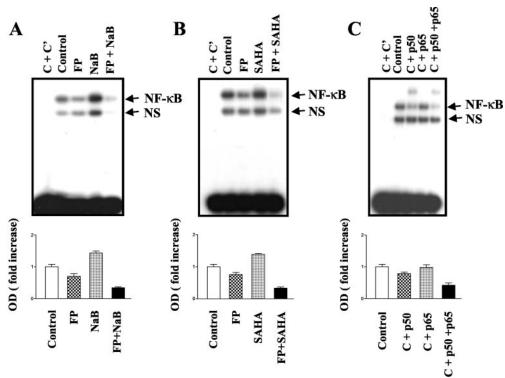


Fig. 1. Flavopiridol markedly inhibits activation of NF-kB induced by histone deacetylase inhibitors in human leukemia cells. A, U937 cells were untreated or treated with 1 mM NaB, 100 nM FP, or the combination (FP + NaB) for 24 h, after which nuclear extracts were then prepared and subjected to EMSA as described under Materials and Methods. For C + C', a 100-fold excess of unlabeled NF-κB oligonucleotides was added to the nuclear extract obtained from untreated cells before the addition of labeled NF-kB oligonucleotides, B. U937 cells were untreated or treated with 1.5 μ M SAHA, 100 nM FP, or the combination of FP and SAHA for 24 h. NF-kB DNA binding activity was determined by EMSA as described above. C. for the supershift assay. nuclear extracts were preincubated with specific anti-p50 and anti-p65 antibodies and subjected to EMSA. Results are representative of three separate studies. For all studies, lanes were loaded with 4 μ g of protein. NF-kB DNA binding signals were quantified using Scion Image software (Scion Corporation). Bottom, Mean densitometric values from three independent experiments were normalized to controls that were assigned an arbitrary value of 1.0. The data represent the means \pm S.D. (n=3). OD, optical density; NS, nonspecific; C, control.

proteasomal degradation of I\$\kappa\$B, allowing this protein to bind and inactivate NF-\$\kappa\$B in the cytoplasm (Alkalay et al., 1995). As shown in Fig. 2, A and B, expression of the I\$\kappa\$B \alpha superrepressor by two separate clones (2C8 and 2H6) substantially reduced NF-\$\kappa\$B DNA binding in cells exposed to HDACIs alone and essentially abrogated DNA binding in cells exposed to flavopiridol alone or in combination.

Genetic Blockade of NF-kB Activation Potentiates Apoptosis by HDACIs, Flavopiridol, and the HDACI/ Flavopiridol Combination. The impact of genetic interruption of the NF-κB cascade on the apoptotic response of U937 cells to HDACIs ± flavopiridol was then investigated. The central premise underlying these studies was that if NF-κB disruption played a critical role in synergistic interactions between flavopiridol and HDACIs, then such synergism would be attenuated in mutant cells (because NF-kB was already disrupted). Several findings emerged from these studies. First, both mutant clones displayed a modest but statistically significant increase (p < 0.01 in each case) in apoptosis in response to low, minimally toxic concentrations of either NaB or SAHA (Fig. 3, A and B). Second, disabling of the NF-kB pathway markedly increased the lethal effects of flavopiridol, consistent with our earlier findings (Dai et al., 2003b). It is interesting to note that apoptosis in $I\kappa B\alpha$ mutant cells exposed to flavopiridol plus HDACIs was essentially complete, and in all cases it was modestly but significantly greater than that exhibited by empty vector controls (p < 0.01 for all conditions). Finally, potentiation of NaB- or SAHA-mediated apoptosis by flavopiridol was significantly greater than that observed in $I\kappa B\alpha$ mutant cells (p < 0.01 in all cases). Together, these findings argue that although flavopiridol-related inhibition of HDACI-mediated NF-κB activation may contribute to the marked increase in apoptosis in HDACI/flavopiridol-treated cells, other flavopiridol actions, presumably unrelated to effects on NF-kB, are very likely to play a role in this phenomenon.

Effects of Disruption of the NF-κB Cascade on Mitochondrial Injury and Caspase Activation in U937 Cells. Effects of disabling the NF-κB cascade were then investigated in relation to the induction of mitochondrial injury and apopto-

sis by HDACIs ± flavopiridol. To this end, empty vector (pcDNA 3.1) and mutant clones (2C8 and 2H6) were exposed to NaB or SAHA ± flavopiridol as described above, after which the release of cytochrome c or AIF into the cytosolic S-100 fraction or cleavage/activation of caspases was monitored by Western blot analysis. As shown in Fig. 4, A and B, $I\kappa B\alpha$ mutant cells displayed modest increases in cytochrome c and AIF release in response to flavopiridol. On the other hand, exposure to NaB (Fig. 4A) or SAHA (Fig. 4B) alone had either no or a very limited effect on cytochrome c/AIF release in mutant cells. However, $I\kappa B\alpha$ mutants exhibited a slight but discernible increase in cytochrome c and a more pronounced increase in AIF release in response to the combination of HDACIs plus flavopiridol. Combined treatment with HDACIs plus flavopiridol also resulted in enhanced activation/cleavage of caspases-3, -8, and -9 in $I\kappa B\alpha$ transfectants (Fig. 5, A and B). As observed in the case of apoptosis, flavopiridol was more effective than blockade of the NF-κB pathway in potentiating HDACI-mediated mitochondrial injury. This finding, along with evidence that genetic interruption of the NF-kB pathway potentiates HDACI/flavopiridol-induced mitochondrial injury, caspase activation, and apoptosis, argue that factors other than or in addition to flavopiridol-mediated NF-κB inhibition contribute to the pronounced induction of apoptosis by this drug combination.

Leukemia Cells Stably Expressing RelA/p65 siRNA Display Enhanced Apoptosis in Response to the HDACI/Flavopiridol Regimen. To determine whether these findings were restricted to cells expressing the $I\kappa B\alpha$ mutant protein, parallel studies were performed in leukemia cells stably expressing a RelA/p65 siRNA construct. As shown in Fig. 6A, transfectant cells displayed a marked reduction in expression of RelA/p65 compared with their empty vector controls. Furthermore, mutant cells showed a significant increase in susceptibility to flavopiridol-induced apoptosis (Fig. 6, B and C). Moreover, expression of the RelA/p65 antisense construct resulted in modest but statistically significant increases (p < 0.01 in each case) in the apoptotic responses of cells to low, marginally toxic concentrations of NaB or SAHA. As noted in the case of $I\kappa B\alpha$ mutants, these effects were clearly less pronounced than those attributable

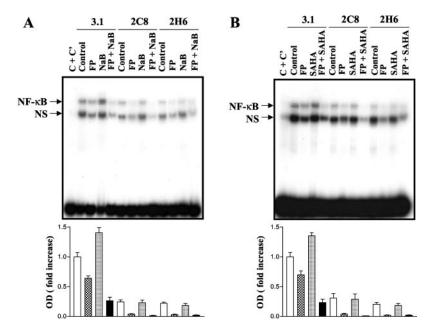
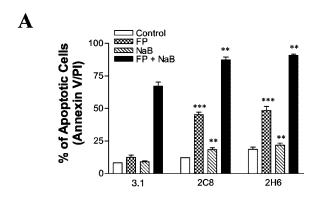


Fig. 2. Inhibition of NF-κB activity in U937 cells transfected with an $I\kappa B\alpha$ super-repressor mutant. U937 cells were stably transfected with an $I\kappa B\alpha$ mutant (Ser32, 36/ Ala) or an empty vector [pcDNA (3.1)] as described under Materials and Methods. Two U937 cell clones stably expressing $I\kappa B\alpha$ mutants (designated 2C8 and 2H6) were then isolated and prepared. A, empty vector control cells and the two $I\kappa B\alpha$ mutant transfectants (2C8 and 2H6) were treated with 1 mM NaB, 100 nM FP, or the combination of FP and NaB, after which the nuclear extracts were prepared and subjected to EMSA as described under Materials and Methods. B, empty vector controls and the two $I\kappa B\alpha$ mutant transfectants (2C8 and 2H6) were treated with 1.5 µM SAHA, 100 nM FP, or the combination of FP and SAHA, after which nuclear extracts were prepared and subjected to EMSA analysis as described above. Bottom, densitometric analysis of signals from EMSA analysis shown above. Results represent values compared with vector controls and are representative of three separate studies. NF-kB DNA binding signals were quantified and analyzed as described in Fig. 1. The data are expressed as means \pm S.D. (n = 3).

to flavopiridol alone in control cells. Also consistent with results observed in $I\kappa B\alpha$ mutants, RelA/p65 siRNA-expressing cells were significantly more sensitive to flavopiridol than control cells (p<0.01). Finally, cells expressing the siRNA construct resulted in a modest but statistically significant (p<0.01) increase in apoptosis after exposure to the flavopiridol/HDACI regimen. Together, these findings provide further support for the notion that factors in addition to disruption of the NF- κ B pathway contribute to the dramatic antileukemic synergism observed between flavopiridol and HDACIs.

Disruption of the NF- κ B Cascade Promotes JNK Activation and Down-Regulation of XIAP, Mcl-1, and p21^{CIP1/WAF1} in Response to Flavopiridol/HDACIs. The effects of disabling the NF- κ B cascade were then examined in relation to perturbations in stress and cell-cycle–related pathways. Similar to results described above, I κ B α mutant cells displayed minimal increases in activation of the stress kinase JNK in response to NaB or SAHA alone and modest increases in flavopiridol-mediated JNK activation (Fig. 7). However, disabling the NF- κ B axis markedly increased JNK activation in response to flavopiridol/HDACIs. In separate studies, coadministration of the JNK inhibitor SP600125 (10 μ M) failed to attenuate flavopiridol/HDACI-mediated lethal-



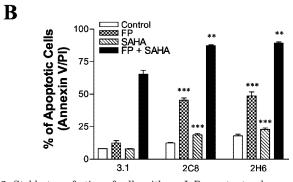


Fig. 3. Stable transfection of cells with an $I\kappa B\alpha$ mutant enhances FP/NaB- and FP/SAHA-induced apoptosis in U937 cells. U937 cells were stably transfected with $I\kappa B\alpha$ mutant (Ser32, 36/Ala) or an empty vector (pcDNA3.1) as described under *Materials and Methods*. U937/ $I\kappa B\alpha$ mutant (clones 2C8 and 2H6) and /pcDNA3.1 cells were treated with 100 nM FP, the histone deacetylase inhibitors NaB (1 mM) or SAHA (1.5 μM), or the combination of FP/NaB (A) and FP/SAHA (B) for 24 h. Cells were stained with Annexin V/PI, and apoptosis was determined using flow cytometry as described under *Materials and Methods*. The values obtained from the Annexin V assay represent the means ± S.D. for three separate experiments. **, values for U937/ $I\kappa B\alpha$ mutant cells (clones 2C8 and 2H6) significantly greater than those for empty vector controls (pcDNA3.1 cells) by the Student's t test; p < 0.001. ***, p < 0.001.

ity (data not shown), arguing against a major functional role for JNK activation in this phenomenon.

Flavopiridol modestly diminished XIAP expression in parental cells, and this effect was also observed in $I\kappa B\alpha$ mutants. In contrast, HDACIs had little effect on XIAP expression in any of the cell lines. However, XIAP expression was substantially reduced in parental cells and essentially abrogated in mutant cells treated with flavopridol/HDACIs. Expression of the antiapoptotic protein Mcl-1 was modestly reduced by flavopiridol alone and was either unchanged or slightly increased in cells treated with HDACIs. However, expression was absent in mutant cells exposed to flavopiridol/HDACIs. Both NaB and SAHA induced p21CIP1/WAF1, and this response was attenuated either by flavopiridol or expression of the $I\kappa B\alpha$ mutant protein. Furthermore, p21 $^{CIP1/WAF1}$ expression was essentially abrogated in mutant cells treated with the flavopiridol/HDACI regimen. Combined exposure to flavopiridol/HDACIs also resulted in cleavage and reduced expression of p27KIP1, events that were more pronounced in IκBα mutant cells. Finally, the effects of flavopiridol on XIAP, Mcl-1, and p21 $^{CIP_{1}/WAF_{1}}$ down-regulation in wild-type cells were in all cases greater than those triggered by disruption of the NF- κ B pathway, (i.e., by expression of I κ B α mutants). Together, these findings suggest that although disabling the NF-kB pathway by flavopiridol may contribute to perturbations in certain stress, cell cycle, and survival pathways in HDACI-treated cells, other events, including those related to direct actions of flavopiridol, are more likely to play primary roles in the dramatic antileukemic synergism between these agents.

Discussion

The concept of combining pharmacological CDK inhibitors such as flavopiridol with differentiation-inducing agents such as HDACIs in leukemia therapy has several theoretical rationales. For example, HDACIs have been shown to be potent inducers of leukemic cell differentiation (Rosato et al.,

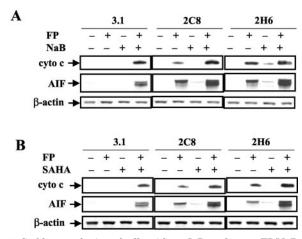


Fig. 4. Stable transfection of cells with an IκBα enhances FP/NaB- and FP/SAHA-induced mitochondrial injury in U937 cells. U937/IκBα mutant (clones 2C8 and 2H6) and /pcDNA3.1 cells were treated with 100 nM FP, NaB (1 mM) or SAHA (1.5 μ M), or the combination of FP/NaB (A) and FP/SAHA (B) for 24 h. The cytosolic S-100 fractions were prepared and subjected to Western blot assay using antibodies against cytochrome c (cyto c) and AIF. Each lane was loaded with 20 μ g of protein; blots were subsequently stripped and reprobed with antibodies directed against β -actin to ensure equivalent loading and transfer. Two additional studies yielded equivalent results.

2003). In addition, the induction of leukemic cell maturation requires cells to undergo G₁ arrest (Dai et al., 2003a); moreover, CDK inhibitors, including flavopiridol, have been reported to induce differentiation in certain malignant cell types (e.g., non-small-cell lung cancer cells) (Lee et al., 1999). Thus, the possibility that flavopiridol might enhance maturation by HDACIs as well as other maturation inducers seemed plausible. However, it has been established that flavopiridol does not promote HDACI-mediated differentiation; instead, flavopiridol has been shown to potentiate mitochondrial injury and apoptosis by multiple HDACIs including NaB, SAHA, and depsipeptide (Almenara et al., 2002; Nguyen et al., 2004; Rosato et al., 2004). This capacity has been attributed to the ability of flavopiridol to diminish, via inhibition of the PTEF-b CDK9/cyclin T complex (Chao et al., 2000), the expression of various antiapoptotic proteins, including Mcl-1, XIAP, and p21CIP1/WAF1, which are necessary for the normal maturation program to proceed. Several other potentially proapoptotic flavopiridol actions have been described recently, including the inhibition of survivin phosphorylation (Wall et al., 2003) and disruption of the NF-κB pathway through inhibition of the IKK (Sizemore et al., 2002). In this regard, HDACIs have been shown to activate

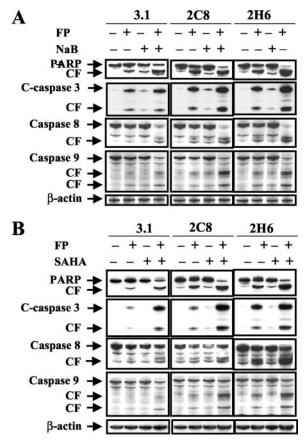
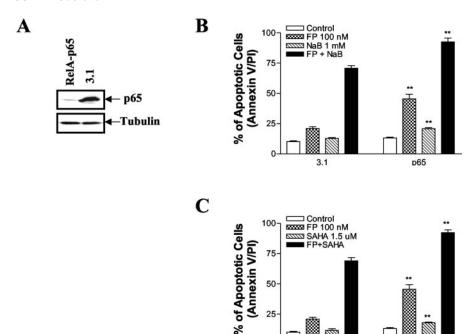


Fig. 5. Stable transfection of cells with an IκBα enhances FP/NaB- and FP/SAHA-induced caspase activation in U937 cells. U937/IκBα mutant (clones 2C8 and 2H6) and /pcDNA3.1 cells were treated with 100 nM FP, NaB (1 mM) or SAHA (1.5 μM), or the combination of FP/NaB (A) and FP/SAHA (B) for 24 h. Total cellular extracts were prepared and subjected to Western blot assay using antibodies against PARP, C-caspase-3, caspase-8, caspase-9, and β-actin as indicated. Each lane was loaded with 20 μg of protein; blots were subsequently stripped and reprobed with antibodies directed against β-actin to ensure equivalent loading and transfer. Two additional studies yielded equivalent results. C-caspase-3, cleaved caspase 3; CF, cleavage fragment.

NF-κB (Adam et al., 2003; Dai et al., 2003a), an action which under some circumstances blocks HDACI-mediated apoptosis (Mayo et al., 2003). Furthermore, in human leukemia cells, disruption of NF-κB signaling by either pharmacological or genetic means disrupts differentiation induction by phorbol esters (Altuwaijri et al., 2003) as well as HDACIs (Dai et al., 2003a), resulting in a reciprocal increase in apoptosis. From upon these considerations, it is tempting to speculate that flavopiridol-mediated NF-κB inhibition may contribute to synergistic antileukemic interactions that occur between this agent and HDACIs.

The results presented here indicate that NaB and SAHA in fact do strikingly activate NF-κB in U937 human leukemia cells and that this action is largely abrogated by coadministration of flavopiridol. However, although flavopiridol-mediated inhibition of HDACI-associated NF-κB activation in all likelihood contributes to potentiation of apoptosis by this drug combination, other actions undoubtedly play a major role in this phenomenon. For example, consistent with previous results by our group and others (Dai et al., 2003b; Mayo et al., 2003), disabling of the NF-kB pathway significantly increased, albeit modestly, HDACI-mediated lethality, even when the latter was administered at low, marginally toxic concentrations. However, potentiation of HDACI-mediated apoptosis by flavopiridol was significantly greater than that induced by genetic interruption of the NF-κB pathway (e.g., in cells expressing the IκBα mutant protein or RelA/p65 siRNA). In addition, genetic disruption of NF-κB signaling resulted in a significant increase in cell death in leukemic cells exposed to the flavopiridol/HDACI regimen. Because the NF-κB pathway is already disrupted in mutant cells, this finding indicates that factors other than or in addition to flavopiridol-mediated NF-κB inhibition is involved in lowering the apoptotic threshold. Taken together, these observations suggest that although the striking ability of flavopiridol to block HDACI-mediated NF-κB activation is very likely to contribute to the observed potentiation of apoptosis, other flavopiridol actions, particularly those unrelated to NF-kB inhibition, play a critical role in this interaction.

One possible explanation for the present observations is that flavopiridol may modulate the expression of proteins involved in regulation of HDACI-mediated apoptosis through both NF-κB-dependent and -independent mechanisms. For example, the endogenous cyclin-dependent kinase inhibitor $p21^{C\bar{IP}1/WAF1}$ is a major target of HDACIs (Gui et al., 2004) and has been shown in multiple systems to attenuate HDACI-mediated apoptosis (Almenara et al., 2002; Rosato et al., 2003; Nguyen et al., 2004). The latter capacity may reflect, at least in part, the ability of cytoplasmic p $21^{CIP1/WAF1}$ to bind to and inactivate procaspase-3 (Asada et al., 1999; Rosato et al., 2004). However, a functional role for NF-κB activation in the induction of p21CIP1/WAF1 in human leukemia cells exposed to phorbol esters and HDACIs has been demonstrated recently (Dai et al., 2003a). Thus, abrogation of $p21^{\mathit{CIP1/WAF1}}$ induction and potentiation of apoptosis in HDACI-treated cells by flavopiridol may reflect both direct effects related to flavopiridol-mediated transcriptional repression (e.g., through inhibition of PTEF-b) (Chao et al., 2000), as well as indirect effects (i.e., mediated by inhibition of NF- κ B). In support of this notion, p21 $^{CIP1/WA\acute{F}1}$ induction by NaB or SAHA was attenuated in $I\kappa B\alpha$ mutant cells but was essentially abrogated when flavopiridol was added. Sim-



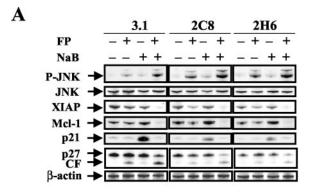
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Fig. 6. Stable transfection of RelA/p65 siRNA enhances FP/NaB- and FP/SAHA- induced apoptosis in U937 cells. U937 cells were stably transfected with RelA/p65 siRNA or an empty vector (3.1-H1 hygro) as described under Materials and Methods. A, comparison of the expression of RelA/p65 in U937/p65 siRNA and U937/ 3.1-H1 hygro cells is shown by Western blot. U937/p65 siRNA (p65) and /3.1-H1 hygro (3.1) cells were treated with FP (100 nM), NaB (1 mM), or SAHA (1.5 µM), or the combination of FP/NaB (B) and FP/SAHA (C) for 24 h. Cells were stained with Annexin V/PI, and apoptosis was determined using flow cytometry. The values obtained from Annexin V assays represent the means ± S.D. for three separate experiments. **, values for RelA/p65 siRNA cells significantly greater than those for empty vector controls (3.1) by the Student's t test, p < 0.01.

ilar considerations apply to the antiapoptotic protein XIAP, which is a target of both NF-kB (Tang et al., 2001) and flavopiridol (Dai et al., 2003b). Finally, it is conceivable that the lethal consequences of disruption of NF-kB activation by flavopiridol may be potentiated by down-regulation of certain antiapoptotic proteins (e.g., Mcl-1) through a direct, NF-κBindependent mechanism.

Combined treatment with flavopiridol and HDACIs resulted in a clear increase in mitochondrial injury (e.g., cytochrome c and AIF release), particularly under conditions in which the NF-κB pathway was disabled. These events were accompanied by the engagement of the downstream apoptotic cascade, including activation/cleavage of procaspases-9, -3, -8, and PARP. Although the mechanism by which NF-kB inhibition promotes mitochondrial dysfunction is controversial, it is noteworthy that flavopiridol/HDACI-mediated mitochondrial injury was accompanied by JNK activation, an event that has been directly implicated in cytochrome c release (Tournier et al., 2000), including that triggered by HDACIs (Yu et al., 2003). Furthermore, NF-κB inhibition has been reported to promote JNK activation through an XIAP-related process (Tang et al., 2001; Lewis et al., 2004). Finally, in view of evidence that p21^{CIP1/WAF1} opposes JNK actions (Huang et al., 2003), the ability of flavopiridol to block HDACI-mediated p21^{CIP1/WAF1} induction through both NF- κB -dependent and -independent mechanisms may be rele-

In summary, these results suggest that flavopiridol effectively blocks the ability of the HDAC inhibitors NaB and SAHA to activate NF-kB in human leukemia cells and that this phenomenon contributes, at least in part, to the pronounced mitochondrial injury and apoptosis induced by this regimen. However, from the present results, it is probable that NF-kB-independent flavopiridol actions, most likely related to PTEF-b inhibition (e.g., down-regulation of XIAP, Mcl-1, and p21^{CIP1/WAF1}), play major roles in such interactions. An additional possibility is that flavopiridol-mediated



p65

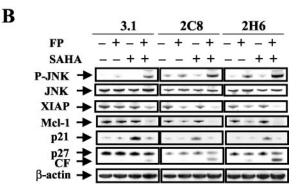


Fig. 7. Stable transfection with an IκBα mutant enhances FP/NaB and FP/SAHA-induced activation of phosphor-JNK (P-JNK) and down-regulation of XIAP, p21 $^{CIPI/WAFI}$, and Mcl-1. A, U937/I $\kappa B\alpha$ mutant (2C8 and 2H6) and /pcDNA3.1 cells were treated with 1 mM NaB, 100 nM FP, or the combination of FP/NaB. B, transfected cells were also treated with 1.5 μM SAHA, 100 nM FP, or the combination of FP/SAHA. Total cellular extracts were prepared and subjected to Western blot assay using antibodies against phosphor-JNK, JNK, XIAP, Mcl-1, $p21^{CIP1/WAF1}$, $p27^{KIP1}$, and β -actin. Each lane was loaded with 20 μ g of protein; blots were subsequently stripped and reprobed with antibodies to actin to ensure equivalent loading and transfer. Two additional studies yielded equivalent results.

down-regulation of XIAP, Mcl-1, and p21 $^{CIP1/WAF1}$ after HDACI exposure may be particularly lethal under conditions in which the NF- κ B pathway is disabled. One implication of these findings is that although the search for more specific CDK inhibitors is clearly justified, less specific agents such as flavopiridol, whose actions are pleiotropic, may offer certain advantages, particularly when used in combination with other targeted agents such as HDAC inhibitors. Therefore, efforts are currently underway to determine whether similar events occur when other CDK antagonists are combined with HDAC inhibitors.

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